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Assignee: John O. Ryan

Command Audio Corporation

Title: A METHOD AND SYSTEM FOR INFORMATION DISSEMINATION
USING VARIOUS MODES OF TRANSMISSION

Serial No.: 08/977,846

Filed: November 13, 1997

Examiner: Unknown

Group Art Unit: Unknown

Docket No.: M-2338-3C US

San Jose, California

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D. C. 20231

**SMALL BUSINESS CONCERN
DECLARATION BY ASSIGNEE**

Dear Sir:

I declare that I am an official empowered to act on behalf of the concern identified
above as assignee.

Exclusive rights to the above invention as described in

☐ the specification filed herewith,

☒ U.S. patent application Serial No. 08/977,846, filed November 13, 1997

have been conveyed to and remain with the above concern.

For purposes of paying reduced fees under Section 41 of Title 35 of the United States
Code with regard to this invention, I declare that the above concern qualifies as a small
business concern as defined in 13 CFR 121.12 and reproduced in 37 CFR 1.9(d), namely, the
concern's number of employees, including those of its affiliates, does not exceed 500 persons
and the concern has not assigned, granted, conveyed, or licensed, and is under no obligation

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SER. NO. 08/977,846

under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

I acknowledge my duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate per 37 CFR 1.28(b).

I declare that all statements made herein of my own knowledge are true, all statements made herein on information and belief are believed to be true, and all statements made herein are made with the knowledge that whoever, in any matter within the jurisdiction of the Patent and Trademark Office, knowingly and willfully falsifies, conceals, or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be subject to the penalties including fine or imprisonment or both as set forth under 18 U.S.C. 1001, and that violations of this paragraph may jeopardize the validity of the application or this document, or the validity or enforceability of any patent, trademark registration, or certificate resulting therefrom.

Signature: _____

Date: _____

Official's Name: _____

Donald F. Bogue

Official's Title: _____

President and CEO

Concern's Name: _____

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Concern's Address: _____

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